



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Sector*  
*(5)*

Applicant(s): Lawandy et al.  
Serial No.: 09/801,445  
For: AUTHENTICATION USING A DIGITAL WATERMARK  
Filed: March 8, 2001  
Examiner: Not Yet Assigned  
Art Unit: 2131  
Attorney Docket: 902.0015USU

Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by Nabil M. Lawandy and Scott Andrew Tillotson;
- ☐ Declaration Claiming Small Entity Status (Small Business Concern);
- ☒ 5 Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to: **Spectra Systems Corporation**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ A Certified Copy of the Priority Application;
- ☒ Information Disclosure Statement (Form - 1449); and
- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.



Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	30 - 20 =	10	x \$18.00	\$180.00
Independent Claims	5 - 3 =	2	x \$80.00	\$160.00
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
TOTAL FILING FEE			\$PAID	

1/2 FILING FEE FOR SMALL ENTITY	\$
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☒ A check in the amount of \$ 105.00 to cover the surcharge and Assignment recordal fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

June 14, 2001

Date

  
Harry F. Smith, Esq.

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON June 14, 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,445	03/08/2001	Nabil M. Lawandy	902.0015USU

CONFIRMATION NO. 6481

## FORMALITIES LETTER



\*OC000000005984099\*

Harry F. Smith, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 9th Floor  
Stamford, CT 06901-2682

Date Mailed: 04/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

06/20/2001 EEKUBAY1 00000123 09801445

01 FC:205

65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

